

Approved
by decision of the Operational Committee
of the Alatau City Council
(Minutes No. 1 dated August 20, 2026)

**Methodology for the Development, Amendment and Assessment of the
Effectiveness of Implementation of Strategic Documents of the Alatau City**

Alatau, 2026

Chapter 1. General Provisions

1. This Methodology for the Development, Amendment and Assessment of the Effectiveness of Implementation of Strategic Documents of the Alatau City (the “Methodology”) defines a unified procedure for the development, amendment, implementation, monitoring and assessment of the effectiveness of implementation of Strategic Documents of the Alatau City.

2. This Methodology has been developed in accordance with paragraph 12 of Article 28 of the Constitutional Law of the Republic of Kazakhstan “On the Special Legal Regime of the City of Alatau” (the “Constitutional Law”), the Regulations on the Council of the City of Alatau and the Regulations on the Operational Committee of the Council of the City of Alatau.

3. This Methodology applies to the following Strategic Documents of the City of Alatau:

1) Long-Term Development Plan of the City of Alatau (the “Long-Term Development Plan”);

2) Master Plan of the City of Alatau (the “Master Plan”);

3) Development Strategy of the City of Alatau (the “Development Strategy”).

4. The implementation of Strategic Documents is ensured by:

1) Action Plan for the implementation of the Master Plan and Development Strategy;

2) Budget of the Administration;

3) Detailed Development Plans for specific areas of the City of Alatau;

4) Social and Economic Plans of the City of Alatau, developed by the Akimat.

5. Digital Registers, geographic information materials, digital maps and other data are used for the development, implementation, monitoring, evaluation and amendment of Strategic Documents and are not independent strategic planning documents.

6. The development, amendment, implementation, monitoring and assessment of the effectiveness of implementation of Strategic Documents shall be carried out in accordance with the Constitutional Law, regulatory legal acts adopted for its implementation, this Methodology, and other decisions of the Administration’s authorised governing bodies.

7. The main principles of the Methodology are:

1) **Principle of Development of the City of Alatau as a Smart City.** Strategic Documents of the City of Alatau shall be developed, approved and implemented

exclusively taking into account the concept of developing the City of Alatau as a “smart city”, which provides for the introduction and use of innovative urban mobility, digital technologies and the Internet of Things in the management of urban infrastructure and urban space in order to improve efficiency and safety, ensure sustainable development and enhance interaction with the population of the City of Alatau.

2) **Principle of Strategic Consistency.** Decisions, implementation plans and budget parameters are formed based on the goals, priorities, key performance indicators and spatial solutions established by the Strategic Documents of the City of Alatau.

3) **Principle of Priority of Strategic Investments.** When forming investment projects and allocating resources, priority is given to projects that ensure the achievement of the goals of the Development Strategy, comply with the Master Plan and take into account the spatial, infrastructural and social and economic potential of the City of Alatau.

4) **Principle of Strategic Predictability.** The goals, priorities and main directions of the City's development, established by Strategic Documents, are subject to consistent implementation.

8. For the purposes of this Methodology, the following basic terms and their definitions are used:

1) **Action Plan for Implementation of the Master Plan and the Development Strategy** (the “Action Plan”) means a document for the Implementation of the Master Plan and the Development Strategy for a three-year rolling period, containing activities, deadlines for their implementation, responsible persons and structural units, amounts and sources of financing, forms of completion and expected results (effects).

2) **Administration** is the Administration of the City of Alatau, namely Alatau City Authority Special Management Organization, which is a state body that performs the functions of public administration and management of the functioning and development of the City of Alatau, as well as regulatory legal regulation in this area.

3) **Annual monitoring** is the collection, verification and analysis of information on the implementation of the Long-Term Development Plan, Master Plan and Development Strategy based on the results of the calendar year. Annual monitoring includes an analysis of the achievement of KPIs of the Development Strategy, the implementation of projects and measures, spatial indicators and milestones of the Master Plan, as well as compliance with long-term aspirations and the implementation phasing of the Long-Term Development Plan.

4) **Annual report on the development of the City of Alatau** is a final document approved by the Council and subject to publication, containing the results of

monitoring and evaluation of the implementation of Strategic Documents, the implementation of the Action Plan for Implementation of the Master Plan and the Development Strategy and the Budget of the Administration, as well as the main deviations, reasons for failure to achieve target KPI values and planned results, conclusions and proposed measures.

5) **Budget of the Administration** means a document that is formed on the basis of Strategic Documents of the City of Alatau and is aimed at financial support of the Action Plan for Implementation of the Master Plan and the Development Strategy.

6) **Council** – the Council of the City of Alatau, which is the highest collegiate governing body of the Administration.

7) **Data Owner** means a structural unit, state body or organisation that ensures the formation, reliability, relevance and presentation of data used in monitoring and evaluating the effectiveness of the implementation of Strategic Documents.

8) **Data Source** means official statistical, administrative, financial, project, geoinformation or other verifiable information used to calculate key performance indicators and conduct monitoring.

9) **Detailed Development Plan** means an urban planning document approved by the Chief Executive Officer of the Administration of the City of Alatau on the basis of and in accordance with the Master Plan for specific territories and functional zones of the City of Alatau.

10) **Development** – initial preparation of a strategic document for a specified period.

11) **Development Strategy** is the Development Strategy of the City of Alatau, developed and approved concurrently with the Master Plan for a fifteen-year period based on and in the implementation of the Long-Term Development Plan. It determines the economic goals and priorities of the City's development, priority sectors, key performance indicators and implementation tools.

12) **Digital Register** means a structured electronic list of information used to record documents, projects, indicators, approvals, versions, changes and other data related to the development, implementation and amendment of Strategic Documents of the City of Alatau.

13) **geographic information system (GIS)** means a geographic information system used for the generation, storage, processing, analysis and display of spatial data related to the territory, infrastructure, restrictions, investment sites, projects and other objects of the City of Alatau.

14) **GIS Layer/Geographic Information Layer** is a thematic set of spatial data that reflects information about the territory, functional zones, infrastructure,

restrictions, investment sites, projects, or other objects linked to a single geographic information base.

15) **Infrastructure plan** is a part of the Master Plan that determines the composition, location, priority of development of transport, engineering, municipal, social, digital and other infrastructure facilities, as well as the need for capital investments necessary for the implementation of the Master Plan.

16) **Infrastructure project** is a project for the creation, development, modernisation or operation of transport, engineering, municipal, social, digital or other infrastructure necessary for the implementation of Strategic Documents of the City of Alatau.

17) **Key performance indicator (KPI)** means a quantitative indicator that complies with the principles of measurability, achievability, verifiability and time restrictions, used to assess the degree of achievement of strategic goals and expected results of a strategic document.

18) **Long-Term Development Plan** means a Long-Term Development Plan of the City of Alatau, developed and approved for a thirty-year period and determining the long-term vision of the City, the spatial framework of the development of the territory and long-term directions for infrastructure development. The Long-Term Development Plan is the basis for the development of the Master Plan and the Development Strategy.

19) **Long-term planning aspirations** are the targets, qualitative and quantitative parameters of the City's development established by the Long-Term Development Plan with control values for the corresponding ten-year stages.

20) **Master Plan** means the Master Plan of the City of Alatau, developed and approved concurrently with the Development Strategy for a fifteen-year period based on and implementing the Long-Term Development Plan. The Master Plan establishes the functional designation and permitted use of territories, development parameters, planning structure, as well as the location of transport, engineering, utility, social and digital infrastructure. Development parameters shall include permitted land use, permitted development areas, building heights, as well as any other planning conditions deemed necessary to ensure consistency of the Master Plan.

21) **Operational Committee of the Council of the City of Alatau** is a committee of the Council of the City of Alatau, formed from among the members of the Council for the purpose of prompt decision-making and approval of certain internal issues delegated by the Council to the Operational Committee.

22) **Phased territorial development** means distribution of the development of the territory and implementation of projects in stages, taking into account

infrastructure readiness, investment demand, budgetary sufficiency, land-related decisions and investment obligations.

23) **Register of Approved Planning Proposals and Projects under Implementation** – a systematised list of approved planning proposals and projects under implementation, maintained by the Administration for the purposes of their recording and ensuring that the solutions envisaged therein are preserved when Strategic Documents are amended.

24) **Register of Proposals** means a systematised list of proposals from the population, investors, business entities, state bodies and other interested parties, formed by the Administration for their collection, analysis and consideration when monitoring and amending Strategic Documents.

25) **Reporting Year** means the calendar year from 1 January to 31 December for which annual monitoring and assessment of the effectiveness of implementation of Strategic Documents are conducted.

26) **Rolling Basis** – an approach in which, when approving the amended version of the strategic document, a new full planning period of the same duration is established, calculated from the year of the start of the implementation of the amended version, and when the Action Plan for the implementation of the Development Strategy and the Master Plan is updated annually, a new three-year period is formed, including the next year and the next two years.

27) **Scheduled Amendment** means the revision of a strategic document within the time limits established by the Constitutional Law, providing for taking into account the results of monitoring and evaluation, as well as making reasonable changes and establishing a new validity period of the document on a rolling basis.

28) **Semi-annual monitoring** means the collection, verification and analysis of information on the implementation of the Action Plan for the implementation of the Development Strategy and the Master Plan based on the results of the first half of the calendar year. Monitoring of the implementation of the Action Plan for the implementation of the Development Strategy and the Master Plan for the second half of the year is carried out as part of the annual monitoring.

29) **Smart City** means a Smart City development concept that provides for the introduction of a set of high-tech and innovative solutions and organisational measures aimed at efficient resource management and service delivery in order to create sustainable and comfortable living conditions for residents.

30) **Social and Economic Plan of the City of Alatau** means a document developed for a three-year period taking into account the Strategic Documents of the City of Alatau, which determines measures to ensure the functioning of life support

systems, the provision of goods and services to the population, the solution of issues of general administration and is the basis for the formation of the local budget of the City of Alatau.

31) **Spatial indicator of the Master Plan implementation** is a quantitatively measurable indicator that characterizes the implementation of the spatial, territorial, infrastructural or other solution of the Master Plan. The spatial indicators of the Master Plan implementation must be linked to the goals and KPIs of the Development Strategy and do not form a separate duplicate KPI system.

32) **Strategic Documents of the City of Alatau** – the Long-Term Development Plan, the Master Plan and the Development Strategy of the City of Alatau, developed, amended, implemented and evaluated in accordance with the Constitutional Law and this Methodology.

33) **Unscheduled Amendment** (additional amendment) means revision of the Master Plan and (or) Development Strategy before the date of Scheduled Amendment for investment purposes within the framework of international cooperation of the Republic of Kazakhstan, carried out in accordance with the procedure established by this Methodology.

Paragraph 1. Distribution of powers

9. In exceptional cases, additional amendments may be introduced to the Master Plan and/or the Development Strategy if approved by the President of the Republic of Kazakhstan for investment purposes as part of the international cooperation of the Republic of Kazakhstan.

10. The Council exercises powers within the framework of this Methodology in accordance with the Constitutional Law, the Regulations on the Council of the City of Alatau and other decisions of the Council.

11. The Executive Body of the Administration:

- 1) organises the development and amendment of Strategic Documents;
- 2) ensures their mutual coordination;
- 3) organises the development of the Action Plan for Implementation of the Master Plan and the Development Strategy and ensures its submission to the Operational Committee of the Council for approval;
- 4) ensures the effective implementation of Strategic Documents;
- 5) monitors and evaluates the effectiveness of the implementation of Strategic Documents;
- 6) forms an annual report on the development of the City of Alatau;

7) maintains a Register of Proposals, a Register of approved Planning Proposals and projects under construction, and other Digital Registers, provides information and geoinformation support for Strategic Documents, and appoints persons responsible for maintaining and updating the relevant registers, GIS Layers and digital data;

8) submits draft Strategic Documents, their amendments and annual report for consideration by the Council;

9) approves documents of a non-strategic nature, including information documents and concepts aimed at the development of individual industries, as well as relevant operational plans.

12. The Administration performs strategic, regulatory, implementation and control functions of the state body responsible for the functioning and development of the City of Alatau, in accordance with the purpose, objectives and principles of the special legal regime of the City of Alatau.

13. The Akimat of the City of Alatau:

1) develops social and economic plans taking into account Strategic Documents;

2) ensures that projects and activities financed from the local budget are taken into account in the formation and execution of the local budget;

3) provides the Administration with the information necessary to monitor the implementation of Strategic Documents.

14. Structural units and bodies of the Administration, state bodies, organisations, responsible parties and Data Owners participate in the development, implementation and monitoring of Strategic Documents within the framework of their functions and are responsible for the completeness, reliability and timeliness of the submitted materials.

Chapter 2. System of Strategic Documents

15. The system of documents for the development of the City of Alatau includes Strategic Documents and tools for their implementation specified in paragraphs 3 and 4 of this Methodology.

Paragraph 1. Long-Term Development Plan

16. The Long-Term Development Plan determines:

- 1) the long-term planning aspirations;
- 2) scenarios of economic and spatial strategy;
- 3) spatial zoning and structure of the territory, developed at a scale of 1:10 000;

4) transport infrastructure, including expressway, major road and railway network, major public transport corridors, intermodal transport nodes, airport and any other major transport infrastructure;

5) utility infrastructure, including the supply and main distribution network of major potable water, stormwater, wastewater, sewerage, solid waste, gas, power, heating, telecommunication and any other utilities;

6) phased territorial development in ten-year periods;

7) mandatory framework and initial parameters for the development of a Master Plan and the Development Strategy.

Paragraph 2. Master Plan

17. The Master Plan includes:

1) land use zoning, including definition of land uses at a scale of 1:5 000, with development phasing in five-year increments;

2) development parameters, including allowable land use, allowable floor area, building height and any other planning conditions defined by the Master Plan;

3) forecast parameters of population development, employment, density and area of territory development;

4) geoinformation materials, including development of the City's digital twin;

5) phased territorial development for five-, ten- and fifteen-year periods;

6) an infrastructure plan with estimated cost, including transport infrastructure, utilities infrastructure supply and networks as well as digital and social infrastructure to be implemented over the next fifteen years.

18. The scenarios for the implementation of the Master Plan provide for accelerated, baseline and limited development and contain an assessment of the resilience of spatial solutions, the adaptability of phasing and the scalability of infrastructure.

19. The spatial and infrastructural parameters of the Master Plan must comply with the Long-Term Development Plan and be mutually consistent with the Development Strategy.

20. The implementation of the Master Plan is assessed on the basis of the spatial indicators and milestones established therein (without establishing a separate KPI system), characterizing:

1) functional use and development of the territory;

2) land and infrastructure readiness;

3) transport accessibility;

- 4) provision of social infrastructure;
- 5) development of public and green spaces;
- 6) compliance with the stages of implementation.

Paragraph 3. Development Strategy

21. The Development Strategy determines:

- 1) goals and strategic directions for the development of the City's economy;
- 2) priority sectors to accelerate the City's development;
- 3) liveability standards: transport accessibility, quality of education and healthcare, housing affordability, talent attraction, digital accessibility and inclusivity;
- 4) directions of digital and technological development of the City;
- 5) key performance indicators that characterize the achievement of the goals and priorities of the City's development.

22. The Development Strategy must be developed on the basis of a financial and economic model, including scenarios for the development of the City's economy, investment attraction and employment, budget parameters and potential sources of financing the infrastructure plan on an annual basis.

23. KPIs must be quantitative, realistic, verifiable, and directly characterize the achievement of the goals and priorities of the Development Strategy.

24. For the first five years of the Development Strategy, annual target KPI values are set. For subsequent periods, indicative values are set for the end of the tenth and fifteenth years.

25. In case of Scheduled Amendments, target KPI values for the next five-year period are set by year, taking into account the results of monitoring and updated conditions for the development of the City.

26. KPIs can be formed in economic, investment, infrastructure, social, environmental, innovative, technological, digital areas, as well as in terms of the quality of the urban environment and financial sustainability.

27. A KPI is not included in the Development Strategy if the calculation methodology, data source, and baseline value have not been determined.

Chapter 3. Procedure for the development of Strategic Documents

28. The development of Strategic Documents is organised by the Administration.

29. The Long-Term Development Plan shall be developed for a thirty-year period. Upon approval of its amended version, a new thirty-year period shall be established, commencing from the year of its approval.

30. The Master Plan and the Development Strategy are developed simultaneously for a fifteen-year period on a rolling basis, on the basis of and within the framework of the Long-Term Development Plan.

31. Within the powers established by the Constitutional Law, acts of the Council and internal acts of the Administration, the Chief Executive Officer of the Administration shall designate the responsible structural unit of the Administration and approve the terms of reference and the schedule for the development of the strategic document. The responsible structural unit shall organise the development, ensure review of the draft and submit it to the Council for approval.

32. The draft strategic document shall be prepared by the responsible structural unit of the Administration with the involvement of a contractor experienced in developing similar urban development documents in Countries with High Quality Infrastructure.

33. The involvement of the contractor shall not release the Administration from responsibility for the completeness, quality and consistency of the draft.

34. Strategic Documents shall be approved by the Council in accordance with the procedure established by the Constitutional Law, this Methodology and other applicable acts, and shall be published on the official web resource of the Administration within one month from the date of their approval.

Chapter 4. Procedure for Amendment of Strategic Documents

35. Amendment of Strategic Documents is carried out in the form of Scheduled and Unscheduled Amendments in accordance with the Constitutional Law.

36. When amending a strategic document, the requirements for the content of the relevant strategic document established for its development are preserved.

Paragraph 1. Scheduled Amendment

37. The Long-Term Development Plan shall be amended no more than once every ten years. The amended version establishes a new thirty-year implementation period.

38. The Master Plan and the Development Strategy are subject to Scheduled Amendments at the same time no more than once every five years. The revised versions of the Master Plan and the Development Strategy establish a new fifteen-year implementation period.

39. The frequency of amendments shall be calculated from the date of approval of the strategic document or its most recent Scheduled Amendment.

40. The preparation of the Scheduled Amendment shall be carried out in the period beginning not earlier than thirty-six months and not later than twelve months before the due date of the Scheduled Amendment, taking into account the results of monitoring and updated development conditions.

41. Approved changes to Strategic Documents and related spatial data are subject to registration in the relevant Digital Registers and reflection in the geographic information system.

42. The Scheduled Amendment shall be carried out in the following sequence:

1) Initiating amendments.

The proposal to initiate amendment is prepared by the structural unit responsible for strategic planning.

The decision to start amending the strategic document is made by the Chief Executive Officer of the Administration.

This decision shall designate the coordinating structural unit responsible for the amendment and establish the schedule for preparation of the draft strategic document.

2) Collection, verification and analysis of initial data for the last five years preceding the start of preparation and, in their absence, for the available period, obtained from:

- annual reports, including results of assessment of the implementation of Strategic Documents;
- Register of Proposals;
- Register of approved Planning Proposals and projects under implementation;
- analytical base, including official statistical and administrative data, actual parameters and updated forecasts of the social and economic, spatial and infrastructural development of the City;
- initial data which are provided by their owners within their competence.

Data Owners ensure that the information provided is complete, reliable and up-to-date.

The contractor collects, systematises and analyses the initial data, conditions, restrictions and forecast parameters of the City's development.

Data, the source and procedure for the formation of which have not been determined or the reliability of which has not been confirmed, shall not be used in the preparation of the strategic document.

3) Preparation of a draft updated strategic document.

The draft strategic document is prepared on the basis of verified initial data, taking into account:

- requirements of the Constitutional Law and the procedure provided for by this Methodology, the decisions of the Administration's authorised bodies;
- the content and purpose of the relevant strategic document;
- the provisions of interrelated Strategic Documents;
- spatial, infrastructural, financial and other restrictions;
- existing investment obligations.

4) Submission of drafts and approval of Strategic Documents by the Council in accordance with the established procedure.

The approved strategic document shall be published on the official web resource of the Administration within one month from the date of its approval.

Paragraph 2. Unscheduled Amendment

43. An Unscheduled Amendment shall be applied to the Master Plan and (or) Development Strategy. If necessary, the relevant changes shall be made to the Long-Term Development Plan as part of its Scheduled Amendment within the time limits and in accordance with the procedure established by this Methodology.

44. Unscheduled Amendment of the Master Plan and (or) Development Strategy is allowed before the date of the Scheduled Amendment exclusively for investment purposes within the framework of international cooperation of the Republic of Kazakhstan.

45. An Unscheduled amendment shall be carried out subject to approval by the President of the Republic of Kazakhstan in accordance with the procedure provided for in paragraphs 4 and 12 of Article 28 of the Constitutional Law, following which the draft strategic document shall be submitted to the Council for approval.

46. Unscheduled Amendments are allowed if the following conditions are met simultaneously:

- 1) availability of investment and financial and economic justification;
- 2) the availability of international cooperation, within the framework of which Unscheduled Amendments are carried out;
- 3) simultaneous amendment of the Master Plan and the Development Strategy in case of changes in their interrelated provisions.

47. An Unscheduled Amendment does not establish a new fifteen-year implementation period and does not change the date of the next Scheduled Amendment.

Chapter 5. Action Plan for Implementation of the Master Plan and the Development Strategy

48. The activities provided for in the Action Plan shall be aimed at achieving the goals of the Master Plan and the Development Strategy, take into account the spatial and infrastructure solutions of the Master Plan and be implemented within the framework established by the Long-Term Development Plan.

49. The Action Plan shall be prepared in the form set out in Appendix 1 to this Methodology and shall contain:

- 1) name of the activity;
- 2) completion deadline;
- 3) responsible person/department;
- 4) the amount and source of financing;
- 5) the form of completion and the expected result (effect).

50. Meetings, correspondence, preparation of interim materials and other organisational actions are not established as an independent result if they do not complete the envisaged stage of implementation.

51. The annual update of the Action Plan takes into account:

- 1) results of monitoring and effectiveness assessment;
- 2) actual implementation of projects and activities;
- 3) approved and forecast parameters of budget financing;
- 4) up-to-date data on extra-budgetary financing;
- 5) identified deviations and risks;
- 6) changes in the timing and need for funding.

52. Changes to the Action Plan must not change the goals and KPIs of the Development Strategy, spatial and infrastructural solutions of the Master Plan and the phased territorial development.

53. Financing is recorded separately by year and source of financing. Until the source of financing is confirmed, the appropriate amount of financing is recorded as a financing need.

54. The absence of budget funding is not a basis for excluding the activity from the Action Plan.

Chapter 6. Monitoring and assessment of the effectiveness of implementation of Strategic Documents

55. Monitoring and assessment of the effectiveness of implementation of Strategic Documents shall be organised by the structural unit of the Administration

responsible for strategic planning, together with the structural units responsible for the implementation of the relevant Strategic Documents.

56. Monitoring of the implementation of Strategic Documents is carried out at the end of each half of the year. Information for the second half of the year is included in the annual monitoring.

57. The assessment of implementation effectiveness consists of two components: the KPI Achievement Assessment and the Assessment of the implementation of the Action Plan. The assessment of implementation effectiveness shall be conducted following the reporting year on the basis of monitoring results and verified data.

58. Annual monitoring and final assessment of the implementation of the Development Strategy are carried out as part of the preparation of the annual report on the development of the City of Alatau, which is approved by the Council on the twentieth of March of the year following the reporting year.

59. The implementation of the Long-Term Development Plan and the Master Plan shall be assessed as part of annual monitoring on the basis of, respectively, the long-term aspirations and implementation phasing, and the spatial indicators and milestones. The results of such monitoring shall be reflected in the annual report and shall not be included in the calculation of the final assessment of implementation of the Development Strategy.

60. The annual report includes:

- 1) the results of the implementation of Strategic Documents;
- 2) the results of the implementation of the Action Plan;
- 3) annual report on the execution of the Administration's budget within the framework of strategic documents;
- 4) results of the effectiveness assessment;
- 5) identified deviations, the reasons for their occurrence, and corrective measures aimed at ensuring achievement of KPIs of the Development Strategy and improving the effectiveness of the implementation of Strategic Documents.

61. The conclusions of the annual report are taken into account by the Administration during the annual update of the Action Plan, budget planning and preparation of amendments to Strategic Documents.

62. The annual report is posted by the Administration's structural unit responsible for strategic planning on the official web resource of the Administration within one month from the date of its approval.

Paragraph 1. Monitoring of the implementation of Strategic Documents

63. As part of the semi-annual monitoring, the following shall be assessed:

- 1) implementation of the Action Plan, including its financial resourcing;
- 2) potential achievement of KPIs of the Development Strategy.

64. The structural unit of the Administration responsible for the relevant strategic document, based on the data of responsible parties and Data Owners, prepares a report on monitoring the implementation of the Action Plan.

65. The report contains information on the implementation of projects, activities and milestones, the disbursement of financing, the identified deviations, the reasons for their occurrence and the proposed response measures.

66. The report is submitted to the Chief Executive Officer of the Administration no later than two months after the end of the first half of the year. The results of monitoring are taken into account when updating the Action Plan annually.

67. As part of the annual monitoring of the Long-Term Development Plan and Master Plan, the status of the implementation of spatial indicators and milestones is monitored, including the functional use and development of the territory, land and infrastructure readiness, transport accessibility, the provision of social infrastructure, the development of public and green spaces, and compliance with the implementation phasing.

68. As part of the annual monitoring of the Development Strategy, the achievement of KPIs, the effect of the implementation of activities to achieve the goals and priorities of the City's development are assessed.

Paragraph 2. KPI Achievement Assessment

69. For KPIs aimed at increasing values, the degree of achievement is determined by the formula:

$$KPI_{ia} = KPI_{if}/KPI_{ip} \times 100\%,$$

where:

KPI_{ia} is the degree of achievement of KPI_i ;

KPI_{ip} is the planned value of KPI_i for the reporting year;

KPI_{if} is the actual value of KPI_i for the reporting year.

For KPIs aimed at decreasing values, the degree of achievement is determined by the formula:

$$KPI_{ia} = KPI_{ip}/KPI_{if} \times 100\%.$$

To calculate the final value, the degree of achievement of each KPI shall be capped at 100 percent:

$$KPI_{ia} = \min (KPI_{ia}; 100\%).$$

70. KPI Achievement Assessment is determined as the arithmetic mean of the degrees of achievement of all KPIs to be evaluated in the reporting year:

$$KPI \text{ Achievement Assessment} = \frac{1}{n} \times \sum_{i=1}^n KPI_{ia}$$

where:

n is the number of KPIs to be assessed;

KPI_{ia} is the degree of achievement of the corresponding KPI, capped at 100 percent. All KPI_{ia} values shall have equal weight.

Paragraph 3. Assessment of the implementation of the Action Plan

71. The Assessment of the implementation of the Action Plan for implementation of the Master Plan and the Development Strategy shall be determined by the formula:

$$AIAP = NEA / NPA \times 100\%,$$

where:

AIAP – Assessment of the implementation of the Action Plan;

NEA is the number of executed activities of the Action Plan;

NPA is the total number of planned activities of the Action Plan with a completion deadline falling within the reporting year.

72. For each activity, the final result or, in the case of a long-term activity, a milestone shall be taken into account for the reporting year. Implementation of activities shall be assessed on a binary basis: completed – 1; not completed – 0; implementation deadline not yet due – excluded from the assessment.

73. Meetings, correspondence, preparation of interim materials and other process or organisational actions are not established as a form of completion of the activity and are not recognised as completion.

Paragraph 4. Final assessment of the implementation of Strategic Documents

74. The weights of the components of the final assessment are established as follows:

during the first five-year period: KPI Achievement Assessment – 20%, assessment of the implementation of the Action Plan – 80%;

during subsequent periods: KPI Achievement Assessment – 60%, assessment of the implementation of the Action Plan – 40%.

75. The final assessment of the implementation of Strategic Documents shall be determined, depending on the implementation period, using the following formulas:

Assessment of the implementation of Strategic Documents =

During the first five-year period:

$0.2 \times \text{KPI Achievement Assessment} + 0.8 \times \text{Assessment of the implementation of the Action Plan},$

during subsequent periods:

$0.6 \times \text{KPI Achievement Assessment} + 0.4 \times \text{Assessment of the implementation of the Action Plan}.$

76. The assessment of the effectiveness of the implementation of Strategic Documents is interpreted according to Table 1.

Table 1. Threshold Values for Effectiveness Assessment

Assessment range	Assessment interpretation
from 85 to 100 percent	high level of implementation
from 70 to 84.9 percent	medium level of implementation
from 55 to 69.9 percent	satisfactory level of implementation
less than 55 percent	low level of implementation

Chapter 7. Final Provisions

77. This Methodology, including Appendix 1 hereto, shall be binding upon all persons involved in the development, amendment, implementation, monitoring and evaluation of the effectiveness of the implementation of Strategic Documents.

78. Matters not regulated by this Methodology shall be resolved in accordance with the legislation of the City of Alatau and decisions of the governing bodies of the Administration adopted within their respective competence.

79. This Methodology shall be published on the official web resource of the Administration within one month from the date of its approval.

Appendix 1 to the Methodology for
the Development, Amendment
and Assessment of the Effectiveness
of Implementation of Strategic
Documents of the Alatau City

Action Plan for Implementation of the Master Plan and the Development Strategy

№	Activity Name	Completion Deadline	Responsible Person/Department	Amount and Source of Financing	Deliverable / Expected Outcome
Direction 1					
1	Activity 1				

The “Activity name” column shall indicate the activity aimed at achieving the relevant goal (direction) of the Development Strategy and (or) the implementation of the spatial or infrastructural solution of the Master Plan.

The “Completion deadline” column shall indicate the end date of the activity or, for the activity, the implementation period exceeds the Reporting Year, the deadline for achieving the specified milestone.

The “Responsible Person/Department” column shall indicate the responsible person at the level of Deputy Chief Executive Officer/Chief Officer and/or the structural unit of the Administration responsible for implementing the activity and achieving the established result.

The “Amount and Source of financing” column shall indicate the amount of financing by years of implementation of the activity by source of financing, including the Budget of the Administration, the local budget and other budgetary and extra-budgetary sources. Until the source of financing is confirmed, the relevant amount shall be indicated as a projected financing requirement.

The “Deliverable / Expected Outcome” column shall indicate the specific final result of the activity or, for the activity, the implementation period of which exceeds the reporting period, the milestone, the expected effect, as well as a document or other supporting material evidencing their achievement.

Activities are grouped according to the goals (directions) of the Development Strategy, taking into account the phased territorial development and spatial and infrastructural solutions of the Master Plan.